

الالتزام الرقابي لعضو مجلس الإدارة

المحاضر : عبد الله نبيل السنان

مدة الندوة : ساعة و30 دقيقة

الأهداف	محتوى البرنامج	الجدول الزمني
إبراز دور مجلس الإدارة والإدارة العليا تجاه الالتزام الرقابي	الالتزام الرقابي.	45 دقيقة
	مسؤوليات مجلس الإدارة حيال الالتزام الرقابي.	
	مسؤوليات الإدارة العليا حيال الالتزام الرقابي.	
	كيف تدعم الإدارة العليا وظيفة الالتزام الرقابي.	
استراحة		15 دقيقة
التعرف على وظيفة التزام الرقابي وحدود مسؤولياتها	أبعاد وظيفة الالتزام الرقابي.	30 دقيقة
	الاستقلالية الوظيفية للالتزام الرقابي.	
	الموارد اللازمة لتنفيذ وظيفة الالتزام الرقابي.	
	حدود مسؤولية وظيفة الالتزام الرقابي تجاه الإدارة العليا و مجلس الإدارة و الجهات الرقابية.	



ABDULLA NABIL ALSEANAN

OBJECTIVE

Matching my education, skills and experience in financial and investment sector with an ambitions organization.

KEY SKILLS AND COMPETENCIES

Professional

- Investigating and determining the cause of irregularities and errors.
- Highly developed research skills.
- Maintaining up to date knowledge of applicable state and accreditation standards.
- Proven leadership skills and having an executive presence.

Personal

- Keen to learn and continuously waning to improve personal knowledge.
- Able to meet the highest level of work schedule and attendance standards.
- Proven executive level communication skills.

Languages

- Arabic & English

EXPERIENCE

Board Member – Board of Director

(Jul.2016 - Today ,KFIC Financial Brokerage Company)

- Head of Risk Committee (Jul.2016 – Today)
- Head of Nomination & Remuneration (Mar. 2017 – Today)
- Ensuring that the Executive Management is performing its assigned roles and that it is working on enhancing the company's competitive capacity, achieving high growth rates and increasing profits
- Ensuring that the Executive Management's resolutions and actions will serve the best interests of the shareholders and company's law.
- Ensuring the policies & procedures for risk management function to comply with company's risk appetite.
- Developing policies and to make recommendations to the Board on nominations, appointment, re-appointment of BOD Members and Executive Management; as well as implementing remuneration policies of Board members and Executive Management and effectively examining the selection and appointment practices of the Company.

Associate Director – Compliance & AML Dept.

(Jan.2016 - Today ,Kuwait Finance and Investment Company)

- Head of Compliance & AML Dept. and registered as compliance officer at CMA, Kuwait.
- Assisting the Board of Directors and Executive Management in ensuring compliance of all business activities with legal and regulatory requirements, including Central Bank of Kuwait's regulations, CMA's regulations & Corporate Governance.
- Ensuring KFIC's adherence to CMA and CBK guidelines, including periodical reporting to both, AML guidelines and KYC norms, and external and internal audit observations.
- Ensuring that all communications with Central Bank, Capital Markets Authority and other regulatory bodies conform to established standards and procedures
- Assisting in developing, delivering and managing a comprehensive compliance program, based on all applicable laws, and compliance policies across the region.

Sr. Officer – Compliance Unit

(Mar.2014 – Jan.2016, Kuwait Clearing Company)

- Proactively driving results on compliance issues.
- Identifying and mitigating any potential conflicts in advance.
- Directing compliance issues to appropriate departments for investigation and resolution.
- Writing up compliance guidance notes.



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PROF

- Ensuring processes and procedures are adequate to meet regulatory requirements.
- Creating programs that encourage staff to report impropriety without fear of retaliation.
- Coordinating all compliance related activities.
- Developing and implementing a Compliance training plan and programs.
- Keeping all relevant administrative records up to date.
- Investigating all complaints.
- Responding to regulatory inquiries from the authorities.
- Developing effective lines of communications between different teams in the company.
- Collaborating with other departments to direct compliance issues.

Funds Accounts Administrator

(Sept.2010 - Mar.2014 Operations Dept , Boubyan Capital)

(Aug.2008 - Aug.2010 Funds Dept. ,Boubyan Bank)

- Work closely with asset management for the preparation of periodic financial reports.
- Assist the top management to create and setup the AML policy and procedures.
- Manage regulatory issues with MOC and Compliance.
- Preparation of various regulatory reports for CBK and CMA.
- Undertake settlement activities.
- Establishing mutual funds preparing their articles of association.
- Doing the funds operational tasks such as; preparing NAVs, money transfers, and accounting entries.
- Following -up auditing issues of Fund Administration Department.

Marketing Supervisor

(Sept. 2007-Feb.2008 Tanami Marketing Co. - a Subsidiary of Ijara house Holding Co.)

- Doing feasibility studies and implementing marketing projects.
- Creating & finding marketing infrastructure of most ijara house holding
- Preparing marketing plans.

Business Development Officer (Mar. 2007 - Sept. 2007 Ijara House Holding Co.)

- Banks and investment company's studies.
- Statistical studies on the automotive industry.
- Preparing financial reports.
- Providing the top management with Ijara products solutions.
- Establishing the subsidiaries of the holding company.



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EDUCATION

Bachelor Degree • Jun.2006 • Kuwait University

- College of Business Administration
- Major: BA- Finance & Financial Institutions.

PROFESSIONAL QUALIFICATION

Global Academy of Finance and Management (GAFM)

- Designated as certified Compliance Officer(CCO)

International compliance Association (ICA)

- ICA Advanced Certificate in Compliance



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